



# PTO Entity Status Worksheet

The new fees that are charged by the US Patent & Trademark Office (PTO) as of March 19, 2013 depend on several factors, including what type of application you're filing or have filed, as well as your current "entity" status. You may be considered a micro-entity, a small-entity, or a large-entity. To calculate which type of entity you are, and the corresponding fees you'll be charged by the PTO, please complete the worksheet below. Please note that if your entity status changes during prosecution of the patent through the PTO, then you must pay whatever fees apply at the time. Failure to pay the correct fees can result in your patent being invalidated.

## YOUR INFORMATION:

**Docket#:** \_\_\_\_\_ (our job#, if known)

**Name:** \_\_\_\_\_ **Tel:** \_\_\_\_\_ **Ext.:** \_\_\_\_\_

**Address:** \_\_\_\_\_ **Email:** \_\_\_\_\_

**City:** \_\_\_\_\_ **ST:** \_\_\_\_\_ **Zip:** \_\_\_\_\_

## ENTITY TYPE:

**Checklist (please check "yes" or "no" for each question):**

- Yes  No Do you or the assignee (the company or other party that owns or will own the patent application, if any) have 500 or more employees?
- Yes  No Has the inventor been named on 5 or more previously filed US design or non-provisional utility patent applications? (Provisional applications and foreign applications do not count.)
- Yes  No Did any inventor earn more than \$206,109\* in gross personal income in the previous calendar year? (\*this amount is updated every year at <http://bit.ly/PTOmicro>)
- Yes  No Did the assignee of this patent application, if any, earn in excess of \$206,109\* in gross income in the previous calendar year? (\* see <http://bit.ly/PTOmicro>)

**Check whichever category applies to you this year:**

- Large Entity** (if you answered "yes" to question 1 above, regardless of your other answers)
- Small Entity** (if you answered "no" to question 1 but "yes" to any of the questions 2 through 4)
- Micro Entity** (if you answered "no" to each of the above questions)

You further swear that the above responses are true and accurate to the best of your knowledge, and understand that any misstatements may result in either monetary penalties or invalidity of your patent or patent application by the PTO or other federal authorities.

**The information above is true and complete to the best of my knowledge and agree with the above statement.**

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

(To sign this form electronically, put your name between two forward slashes, like this: /John Smith/)

**Return this completed form to the address below, or email it to [patents@quickpatents.com](mailto:patents@quickpatents.com), or FAX to 702-508-9007**